Development of Cortical Asymmetry in Typically Developing Children and Its Disruption in Attention-Deficit/Hyperactivity Disorder

Philip Shaw, MD; Francois Lalonde, PhD; Claude Lepage, PhD; Cara Rabin, BS; Kristen Eckstrand, BS; Wendy Sharp, MSW; Deanna Greenstein, PhD; Alan Evans, PhD; J. N. Giedd, MD; Judith Rapoport, MD

Context: Just as typical development of anatomical asymmetries in the human brain has been linked with normal lateralization of motor and cognitive functions, disruption of asymmetry has been implicated in the pathogenesis of neurodevelopmental disorders such as attention-deficit/hyperactivity disorder (ADHD). No study has examined the development of cortical asymmetry using longitudinal neuroanatomical data.

Objective: To delineate the development of cortical asymmetry in children with and without ADHD.

Design: Longitudinal study.

Setting: Government Clinical Research Institute.

Participants: A total of 218 children with ADHD and 358 typically developing children, from whom 1133 neuroanatomical magnetic resonance images were acquired prospectively.

Main Outcome Measures: Cortical thickness was estimated at 40,962 homologous points in the left and right hemispheres, and the trajectory of change in asymmetry was defined using mixed-model regression.

Results: In right-handed typically developing individuals, a mean (SE) increase in the relative thickness of the right orbitofrontal and inferior frontal cortex with age of 0.011 (0.0018) mm per year ($t_{337}=6.2, P<.001$) was balanced against a relative left-hemispheric increase in the occipital cortical regions of 0.013 (0.0015) mm per year ($t_{337}=8.1, P<.001$). Age-related change in asymmetry in non–right-handed typically developing individuals was less extensive and was localized to different cortical regions. In ADHD, the posterior component of this evolving asymmetry was intact, but the prefrontal component was lost.

Conclusions: These findings explain the way that, in typical development, the increased dimensions of the right frontal and left occipital cortical regions emerge in adulthood from the reversed pattern of childhood cortical asymmetries. Loss of the prefrontal component of this evolving asymmetry in ADHD is compatible with disruption of prefrontal function in the disorder and demonstrates the way that disruption of typical processes of asymmetry can inform our understanding of neurodevelopmental disorders.

Arch Gen Psychiatry. 2009;66(8):888-896

JUST AS TYPICAL DEVELOPMENT OF anatomical asymmetries in the human brain has been linked with the normal lateralization of motor and cognitive functions, disruption of asymmetry has been implicated in the pathogenesis of several neurodevelopmental disorders, such as autism, schizophrenia, and attention-deficit/hyperactivity disorder (ADHD). The most consistently reported structural asymmetry in typically developing adults is a relative increase in the dimensions of the right frontal and left occipital lobes. Such adult structural asymmetries are classically thought to result from the action of torque, a rotational force, on the brain. This concept implies that adult asymmetries are the result of a dynamic developmental process, which is supported by demonstrations of different patterns of structural asymmetry in healthy children and infants. For example, one volumetric study of 46 children reported complete reversal of adult asymmetries (it found larger childhood left anteroinferior frontal cortical and right temporo-occipital volumes), and others reported only a partial, but not yet complete, pattern of adult asymmetry. If asymmetry is, indeed, an evolving property of the childhood brain, such a dynamic phenomenon could be further understood using longitudinal neuroanatomical data. To date, to our knowledge, all studies have been cross-sectional.

Video available online at www.archgenpsychiatry.com

Variations in structural asymmetries have been linked with handedness. Most studies find increased asymmetries in...
right-handed individuals compared with those who are left-handed or ambidextrous (referred to henceforth as non–right-handed). This phenomenon has been most extensively examined for language areas because atypical (ie, nonleftward) hemispheric specialization for language occurs in approximately 30% of non–right-handed people but in fewer than 10% of right-handed individuals. The planum temporale and perhaps more anterior speech areas and the postcentral gyrus show less marked leftward asymmetry in non–right-handed individuals, particularly males. The differing asymmetries related to handedness may reflect the action of genes, resulting in a left-hemispheric bias with concomitant right-handedness. Individuals who lack this genetic effect have no such directional bias for cerebral dominance or handedness and may, thus, be right-handed, ambidextrous, or left-handed. Herein, we further ask whether the lack of a genetic effect for hemispheric bias in non–right-handed individuals translates to an attenuation of the dynamic forces sculpting typical cortical asymmetry. This would manifest as a decreased proportion of the cortex in non–right-handed individuals showing significant changes in asymmetry with age.

Alterations of these asymmetries might play a role in the pathogenesis of one of the most common neurodevelopmental disorders of childhood: ADHD. A loss of typical frontal asymmetry owing to right frontal volume loss is one of the more consistently replicated findings in cross-sectional neuroimaging studies in ADHD, and abnormal development of prefrontal lateralized processing has been implicated in the disorder. We can further these findings by incorporating longitudinal data and using recent methodologic advances in image analysis that afford the detection of cortical asymmetries with exquisite spatial resolution. We use a metric of cortical thickness measured at more than 80,000 points across the cerebral cortex and affords exquisite spatial resolution. We thus, aim to delineate the typical pattern of development of cortical asymmetry and to test the hypothesis that disruption of this process, particularly of evolving frontal asymmetry, may be found in ADHD.

### METHODS

#### PARTICIPANTS

Three hundred fifty-eight healthy children and adolescents with no personal or family history of psychiatric or neurologic disorders had 730 magnetic resonance images (MRIs). These participants are part of the National Institute of Mental Health Intramural Program of typical brain development, on which previous reports have been made. Each participant completed the Childhood Behavior Checklist as a screening tool and then underwent a structured diagnostic interview by a child psychiatrist to rule out any psychiatric or neurologic diagnoses. Handedness was determined using the Physical and Neurological Examination for Soft Signs, in which right-handed individuals stated that they used the right hand for at least 10 of 12 everyday activities, left-handed individuals used the left hand for the same proportion of activities, and ambidextrous individuals occupied the intermediate ground. Of the 358 participants, 316 (88.3%) were predominantly right-handed, 22 (6.1%) were predominantly left-handed, and 20 (5.6%) were ambidextrous. Mean (SD) IQ was 114 (13), as determined using age-appropriate versions of the Wechsler intelligence scales. The proportion of males did not differ significantly by handedness (52% in the right-handed group and 57% in the non–right-handed group: \( x^2 = 0.46, P = .5 \)). The age range was 3.2 to 21.8 years. The numbers of participants at each wave of MRI and their age are given in the Table.

Details of the ADHD cohort are given in previous articles. In brief, diagnosis was based on the Parent Diagnostic Interview for Children and Adolescents, the Conners' Teacher Rating Scale, and the Teacher Report Form. Of 218 children with ADHD, 201 (92.2%) had combined-type ADHD at baseline, 12 (5.5%) had the inattentive subtype, and 5 (2.3%) had the hyperactive/impulsive subtype. Handedness was determined in the same manner as in typically developing participants. There were 192 right-handed individuals with ADHD with 353 MRIs; 119 (62.0%) were male. The numbers of participants at each wave of MRI and their ages are given in the Table. Most individuals on whom treatment data were available were medicated with psychostimulant drugs (at the time of the first MRI, 65%; the second, 64%; the third, 64%; and the fourth, 50%). At baseline, methylphenidate preparations were most common (85%); amphetamine preparations constituted the remaining 15%. Of individuals entering the study taking medication, the mean (SD) age at starting psychostimulant drug use was 8.1 (2.3) years; the mean (SD) duration of treatment before study entry was 2.4 (1.6) years.

### Table. Numbers of Individuals in Each Group at Each Wave of MRI Acquisition, With Age and Sex

<table>
<thead>
<tr>
<th>MRI Wave</th>
<th>Typically Developing Group</th>
<th>ADHD Group</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Right-handed</td>
<td>Non–Right-handed</td>
</tr>
<tr>
<td>MRI 1</td>
<td>(n=316)</td>
<td>(n=42)</td>
</tr>
<tr>
<td>Age, mean (SD), y</td>
<td>11.2 (3.9)</td>
<td>11.6 (4.1)</td>
</tr>
<tr>
<td>Male sex, %</td>
<td>52</td>
<td>57</td>
</tr>
<tr>
<td>MRI 2</td>
<td>(n=180)</td>
<td>(n=21)</td>
</tr>
<tr>
<td>Age, mean (SD), y</td>
<td>13.1 (3.8)</td>
<td>13.6 (3.7)</td>
</tr>
<tr>
<td>Male sex, %</td>
<td>56</td>
<td>33</td>
</tr>
<tr>
<td>MRI 3</td>
<td>(n=96)</td>
<td>(n=8)</td>
</tr>
<tr>
<td>Age, mean (SD), y</td>
<td>14.7 (3.7)</td>
<td>16.3 (3.6)</td>
</tr>
<tr>
<td>Male sex, %</td>
<td>61</td>
<td>25</td>
</tr>
<tr>
<td>MRI ≥4</td>
<td>(n=46)</td>
<td>(n=5)</td>
</tr>
<tr>
<td>Age, mean (SD), y</td>
<td>17.7 (3.4)</td>
<td>17.6 (2.4)</td>
</tr>
<tr>
<td>Male sex, %</td>
<td>56</td>
<td>40</td>
</tr>
</tbody>
</table>

Abbreviations: ADHD, attention-deficit/hyperactivity disorder; MRI, magnetic resonance image.
T1-weighted images with contiguous 1.5-mm axial slices and 2.0-mm coronal slices were obtained using 3-dimensional spoiled gradient-recalled echo in the steady state on a 1.5-T scanner (GE Sigma; GE Medical Systems, Milwaukee, Wisconsin). Imaging parameters were echo time of 5 milliseconds, repetition time of 24 milliseconds, flip angle of 45°, acquisition matrix of 256 × 192, number of excitations equals 1, and 24-cm field of view. Head placement was standardized as previously described. The same scanner was used throughout the study. The images were processed using the cortical surface extraction pipeline CIVET. The native MRIs were first masked using the Brain Extraction Tool method, and then they were registered into standardized stereotaxic space (MNI-ICBM152 non-linear 6th-generation symmetrical target) using a 9-parameter linear transformation and corrected for nonuniformity artifacts. The registered and corrected volumes were segmented into white matter, gray matter, cerebrospinal fluid, and background using an advanced neural net classifier. The Constrained Laplacian Anatomical Segmentation Using Proximities surface extraction procedure was then used to generate surface meshes representing the white matter and gray matter interfaces. The root mean square thickness between corresponding nodes on the surface meshes was then calculated in native space. The thickness measurements were aligned using surface registration to maximize thickness value correspondence between participants in terms of gyral patterning. A 30-mm surface-blurring algorithm was used to reduce noise in the thickness measurements and to reduce the effective number of statistical comparisons being performed. This kernel allows anatomical localization because blurring along the surface using a diffusion-smoothing operator preserves cortical topologic features and represents considerably less cortex than does the equivalent volumetric gaussian blurring kernel. Validity of the technique used was established through its ability to extract accurately the cortical surfaces of a “phantom” brain and capture the neuropathologically established pattern of progression of cortical degeneration in Alzheimer disease. Quality control measures are detailed in the eMethods (http://archgenpsychiatry.com). Asymmetry in cortical thickness was estimated using the difference between corresponding left- and right-hemispheric thickness at 40,962 corresponding points in each hemisphere. In addition, we calculated the asymmetry index as follows: (Left−Right)/0.5*(Left + Right).

All the results are projected onto a symmetrical left-hemispheric template. A positive value of either asymmetry measure indicates that the left-hemispheric cortical point is relatively thicker than its right-hemispheric homologue, and a negative value indicates a right-hemispheric bias.

To determine the developmental trajectories of the asymmetry measures, mixed-model regression analysis was chosen because it permits the inclusion of multiple measurements per person, missing data, and irregular intervals between measurements, thereby increasing statistical power. A model including linear effects of age best fit the asymmetry data. A random effect for each individual was also included in the model to account for within-person dependence. Thus, the jth asymmetry measure of the ith individual was modeled as follows: Thicknessj = Intercept + bj(Age) + eij,

where di is the random-effect modeling within-person dependence, the intercept and β terms are fixed effects, and ei represents the residual error. In analyses with large participant numbers (the right-handed typically developing and right-handed ADHD groups), the resulting statistical maps were thresholded to control for multiple comparisons using the false discovery rate (FDR) procedure, with P = .05. In analyses with smaller group numbers (the non–right-handed typically developing and ADHD groups), an unadjusted P < .05 was adopted. Results were projected onto a symmetrical left-hemispheric template: a positive value indicates relative gain with age in left-over right-hemispheric cortical thickness; a negative value indicates relative gain with age in the right over the left hemisphere.

The initial analyses examined data from the right-handed typically developing cohort only. We then determined the effects of handedness by comparing the non–right-handed typically developing group with a right-handed group matched for number of MRIs, age, and sex (exact numbers of individuals and age at MRI acquisition are given in eTable 1). Such matching ensures that any group differences in the trajectories of asymmetry measures are not owing to differences in data density or confounding demographic factors. Sex effects were examined by entering sex as an interacting factor into the data from the entire right-handed group only. There was insufficient data to examine higher-order interactions between sex and handedness in the trajectory analysis.

In examining diagnostic effects, the right-handed cohort of individuals with ADHD were compared with a right-handed group of typically developing individuals matched for number of MRIs, age, IQ, and sex (exact numbers of individuals and age at MRI acquisition in this contrast are given in eTable 2). There were too few non–right-handed individuals with ADHD to allow a detailed examination of the possibility of higher-order interactions among changing asymmetry, handedness, diagnosis, and sex.
hemispheric gain (sex difference: (but minimal) left-hemispheric gain, whereas females had asymmetry of 0.0003 mm per year, indicative of relative posterior temporal gyrus, where males had a rate of changing compared with a group of right-handed healthy peers matched for number of MRIs, sex, and age, there were group differences in the extent and location of regions that showed significant age-related change in asymmetry (Figure 3). The matched right-handed group showed age-related change in asymmetry in 13.1% of all cortical points compared with 6.3% for the non–right-handed group. The handedness groups differed in the location of cortical regions that showed change in asymmetry with age. The non–right-handed group showed significant age-related relative gain in right-hemispheric thickness in the middle temporal gyrus (unlike the orbito-inferior frontal gyrus of the right-handed group). The regions that showed relative gain in left-hemispheric thickness also differed, being more circumscribed and centered on the angular gyrus in the non–right-handed group. In addition, there was a region in the inferior portion of the precentral and post-central gyri in the non–right-handed group, that showed relative increase in the left hemisphere (this region did not show any such change in the right-handed group).

Significant sex differences were found in change in asymmetry across time in the right-handed typically developing group when a correction for multiple comparisons was made (FDR-adjusted P < .05). This localized to the superior temporal gyrus, where males had a rate of changing asymmetry of 0.0003 mm per year, indicative of relative (but minimal) left-hemispheric gain, whereas females had a rate of −0.013 mm per year, indicative of relative right-hemispheric gain (sex difference: $t_{136} = 5.8, P < .001$) (Figure 4). At a nominal P < .05, sex interacted with changing asymmetry in the lateral postcentral gyrus, where males had a mean rate of change in asymmetry of −0.006 mm per year, indicating relative right-hemispheric gain, and females had a changing asymmetry rate of 0.007 mm per year, indicating relative left-hemispheric gain ($t_{136} = 4.9, P < .001$). These areas did not overlap with the regions showing a main effect of age on asymmetry (ie, the regions with significantly changing asymmetry with age shown in the entire right-handed cohort [Figure 2]).

ADHD

The right-handed ADHD cohort showed significant (FDR-adjusted P < .05) increasing asymmetry with age (indicating left-hemispheric gain in relative cortical thickness with age) in a similar posterior temporo-occipital region as the typically developing group (Figure 5). However, unlike typically developing individuals, the ADHD group showed no opposing tendency of increasing relative right-hemispheric thickness in the frontal cortex. Instead, there was a small region in the anterior superior temporal gyrus that showed this increase in relative right-hemispheric thickness with age. Thus, the right-handed ADHD group showed typical posterior but atypical anterior evolving asymmetry. Definitive conclusions cannot be made about non–right-handed individuals with ADHD due to the small sample size. With this caveat, it is noted that this non–right-handed ADHD group showed an atypical rightward temporal asymmetry with age like their right-handed ADHD peers (eFigure).

In the comparison of the ADHD and typically developing controls, the proportions of males did not differ. In addition, there was no significant interaction between changing asymmetry, diagnostic group, and sex and, thus, no evidence of diagnostically specific effects of sex on changing asymmetry.

There were too few participants who remained unmedicated with psychostimulants throughout the entire study to allow examination of the longitudinal effects of medication status. However, a comparison of cortical asymmetry in individuals with ADHD either treated or untreated with psychostimulant drugs at study entry showed that the groups differed in asymmetry in the posterior superior frontal gyrus and the inferior precentral gyrus (Figure 6). In these regions, the medicated group showed a slight rightward bias (right hemi-
sphere was a mean [SE] of 0.06 (0.01) mm thicker than the homologous area on the left), whereas the unmediated group had a slight leftward bias (left cortex was a mean [SD] of 0.1 [0.02] mm thicker than the right). This medication effect did not survive adjustment for multiple comparisons, and the region lay outside the corti-
regions that showed significant changing asymmetry in the ADHD group as a whole (Figure 5).

**COMMENT**

Asymmetry emerges as a dynamic cortical process: a relative increase in right prefrontal cortical thickness with age is balanced against a relative gain in the left occipital cortex. The results partly explain how the adult right prefrontal and left occipital biases arise from the infantile pattern of cortical asymmetries, where hemispheric biases have been found to be essentially reversed or to only partially resemble adult asymmetry. The similar rates of changing asymmetry in the anterior and posterior regions is congruent with the high correlation found in a cross-sectional adult study between right frontal and left occipital cortical expansion using a deformation-based morphometric approach.

The findings relate to a change in relative thickness of the right and left hemispheres: our earlier work and that of others has shown that the cortex becomes thinner bilaterally throughout most of late childhood and adolescence. Herein, we demonstrate that the rate of this thinning differs by hemisphere: there is more rapid cortical thinning in the left hemisphere in the prefrontal regions (resulting in a relatively thicker right prefrontal cortex), with the reverse pattern in posterior regions. Some studies suggest that more rapid thinning is associated with the acquisition of cognitive skills and is a characteristic of cortical change in children with higher general intelligence. Thus, more rapid thinning in the left ventrolateral prefrontal cortex may support the refinement during adolescence of cognitive skills supported by this region. However, others have argued that an increase with age in right inferior frontal activation is characteristic of the development of key cognitive skills (including cognitive control), illustrating the complexity of forging links between structure and function. The salience of the right inferior frontal gyrus in response inhibition is demonstrated by deficits in this key cognitive skill in adults who have lesions of this area, and, notably, similar deficits are found in those with ADHD. It is also not clear what, if any, psychological processes supported by the posterior parietal and occipital cortex would show a similar age-related shift in lateralized cortical activity. We can equally only speculate about the evolutionary significance of the findings. It is unknown whether this age-related shift in asymmetry is more prominent in humans than in other species or, indeed, whether shifting asymmetry is a uniquely human feature. Perhaps the changing asymmetries in most humans might reflect a plastic cortical substrate that could be advantageous in adaptation to early central nervous system insults.

Cortical asymmetries have been delineated using lobar, sublobar, and voxel-level estimates of cortical volumes, gray matter density, and cortical shape and thickness. We can, thus, compare the patterns of childhood and young adult asymmetry from this study, represented by the initial (age 4 years) and final (age 21 years) "stills" in Figure 1 against a wealth of data. The pattern of young adult cortical asymmetry resembles the picture reported in the only other cortical thickness study, particularly in the location of relatively thicker right anterior cortex. Likewise, rightward...
prefrontal and leftward occipital cortical surface expansion, defined in terms of distance from a central point in the brain, have been reported and overlap with the regions showing increased cortical thickness by adulthood in the present study. Results of voxel-based morphometric studies are also consistent, although they report more extensive asymmetries, particularly right-hemispheric asymmetry, which extends from the prefrontal to the temporoparietal regions. Left-hemispheric asymmetry is frequently reported in posterior language areas, and the left posterior superior temporal gyrus is thicker in young adults in the present cohort (although the most pronounced asymmetry is centered on the angular gyrus and immediately posterior to the Wernicke area). We did not find a similar left-hemispheric bias in the anterior speech areas, congruent with some studies using metrics of cortical thickness, shape, and volume but not others. Asymmetries of the motor cortex that occur below the resolution capacity of conventional MRI are reported, as are some gross asymmetries, although we did not confirm these.

As mentioned earlier, the infantile and childhood pattern of asymmetry is either essentially reversed or lacks the right prefrontal or left occipital bias. Comparison with the “childhood” picture of asymmetry (Figure 1) confirms this reversed pattern of adult asymmetries, with relatively thicker right occipital and left orbito-inferior prefrontal regions.
tural asymmetry might contribute to the consistent findings of anomalous prefrontal activation in the disorder during tasks of cognitive control.\textsuperscript{22,28} The dimensions of the right inferior frontal gyrus in ADHD may also change during development: whereas reduced volume is reported in childhood ADHD, one study\textsuperscript{79} noted an increase in volume in adolescents with the disorder. We recently reported evidence of delayed cortical maturation in frontotemporal regions in the same cohort of children with ADHD as measured by a later age of attaining peak cortical thickness (representing the point at which childhood increase gives way to adolescent decrease in cortical thickness).\textsuperscript{38} The anomalous evolving prefrontal cortical asymmetry in ADHD nestles within this overall delay of cortical maturation of both hemispheres in the disorder.

\section*{LIMITATIONS}
This reflection-based approach relies on identification of the interhemispheric asymmetry plane, and artifacts can arise where the falx curves, as in the occipital pole.\textsuperscript{80} This affects mainly the posteromedial cortical wall, where cortical thickness asymmetry findings must be interpreted with caution, but spares the lateral cortical surfaces, where the most prominent findings lie. Possible errors arising from the lack of total homology in cortical surfaces such as the planum temporale\textsuperscript{31} are attenuated by the use of spatial surface smoothing, which diminishes the effects of small regional shape differences. Nearly all of the participants were treated with psychostimulants at some stage during the study, and, thus, it was not possible to longitudinally compare medicated and unmedicated ADHD groups. We note, however, that at study entry, individuals with ADHD divided by medication status differed little in the pattern of cortical asymmetry, and the regions showing possible medication effects lay outside the regions that demonstrate changing asymmetry with age.\textsuperscript{81} In addition, we recently reported that during adolescence, when a higher proportion of individuals were unmedicated, the regions showing possible medication effects lay outside the regions that demonstrate changing asymmetry with age.\textsuperscript{81} Nonetheless, in the present observational study, we cannot exclude the possibility that psychostimulants or, indeed, nonpharmacologic interventions do not affect the pattern of changing asymmetry in ADHD.

We demonstrate a “flip” in cortical asymmetries during typical development: a relatively thicker left anterior and right posterior cortex in childhood develops into the well-established adult asymmetries of a thicker right anterior and left posterior cortex. Disruptions of this process cast light on the pathogenesis of neurodevelopmental disorders.

\section*{Submitted for Publication:} November 4, 2008; final revision received January 15, 2009; accepted February 5, 2009.

\section*{Correspondence:} Philip Shaw, MD, Child Psychiatry Branch, National Institute of Mental Health, Room 3N202, Bldg 10, Center Dr, Bethesda, MD 20892 (shawp@mail.nih.gov).

\section*{Author Contributions:} Drs Shaw, Greenstein, Giedd, and Rapoport had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

\section*{Financial Disclosure:} None reported.

\section*{Funding/Support:} This study was funded by the Intramural Program of the National Institute of Mental Health.

\section*{Additional Information:} A video and the eFigure, eTables, and eMethods text are available at http://archgenpsychiatry.jamanetwork.com.


